

02-27-03

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/015,355	
	Filing Date	December 12, 2001	
	First Named Inventor	Michael D. Hooven	
	Group Art Unit	3739	
	Examiner Name	Rosiland S. Kearney	
Total Number of Pages in This Submission	*	Attorney Docket Number	HOOV 113

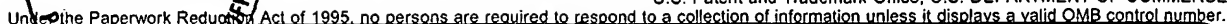
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> SECOND SUPPLEMENTAL Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form PTO/SB/08A - 5 Patent References - Certificate of Mailing - Return Receipt Postcard
Remarks: 7 Sheets plus 5 Patent References		
By U.S. Postal Service Express Mail Label No. EV036906193US		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		TECHNOLOGY CENTER R3700
Firm or Individual name	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357)	
Signature		
Date	February 25, 2003	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <input checked="" type="checkbox"/> Express mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 25, 2003			
Typed or printed name	Jeannie Rapstad		
Signature		Date	February 25, 2003

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	180.00
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Application Number	10/015,355
Filing Date	December 12, 2001
First Named Inventor	Michael D. Hooven
Examiner Name	Rosiland S. Kearney
Group Art Unit	3739
Attorney Docket No.	HOOV 113

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account **50-1039**

Account Number	55-1555
Deposit Account Name	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☒ Charge ~~fees and/or other charges not to be charged~~ any
to the above-identified deposit account. underpayment of fees

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)	(\$) 0.00
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent	<input type="text"/>			<input type="text"/>	= <input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$) 0.00
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****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Measurement of Revenue	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other similar items.	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other similar items.
3. Cost of Sales	Cost of sales is measured at the cost of the goods or services sold, including the cost of materials, labor, and overheads.	Cost of sales is measured at the cost of the goods or services sold, including the cost of materials, labor, and overheads.
4. Impairment of Financial Assets	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.
5. Impairment of Non-Financial Assets	Non-financial assets are measured at cost less accumulated depreciation and impairment losses. Impairment is recognized when the carrying amount exceeds the recoverable amount.	Non-financial assets are measured at cost less accumulated depreciation and impairment losses. Impairment is recognized when the carrying amount exceeds the recoverable amount.
6. Provisions and Contingent Liabilities	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.
7. Share-based Payments	Share-based payments are measured at the fair value of the equity instruments granted, adjusted for the effects of the vesting conditions.	Share-based payments are measured at the fair value of the equity instruments granted, adjusted for the effects of the vesting conditions.
8. Financial Instruments	Financial instruments are measured at fair value, and changes in fair value are recognized in profit or loss.	Financial instruments are measured at fair value, and changes in fair value are recognized in profit or loss.
9. Income Tax	Income tax is measured at the best estimate of the amount payable or receivable, taking into account the time value of money and the risk of non-payment.	Income tax is measured at the best estimate of the amount payable or receivable, taking into account the time value of money and the risk of non-payment.
10. Other	Other items are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.	Other items are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	RE
116	400	216	200	Extension for reply within second month	MAR
117	920	217	460	Extension for reply within third month	TECHNOLO
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

Other fee (specify)

SUBTOTAL (3)	(\$) 180.00
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SUBMITTED BY

Name (Print/Type)	Gary W. McFarron, Esq.
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Signature

Registration No. (Attorney/Agent)	27,357
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Complete (if applicable)

Telephone	(312) 236-8500
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Date	February 25, 2003
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PATENT
Attorney Docket No. HOOV 113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,355

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE
WITH GOLD-PLATED COPPER
ELECTRODES

Commissioner for Patents
U.S. Patent & Trademark Office
Washington, D.C. 20231

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NAME Jeannie Rapstad

SIGNATURE

Jeannie Rapstad

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TECHNOLOGY CENTER R3700

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the
Examiner's attention to documents listed on the attached form,
which documents may be material to the examination of this
application. A copy of each of the documents is enclosed herewith
for the Examiner's consideration.

No inference should be drawn that any method disclosed is
equivalent to the subject invention. Also, the citation of the
above-discussed documents is not to be construed as an assertion
that more pertinent art could not possibly be in existence.

Citation of any document herein is not to be construed as an

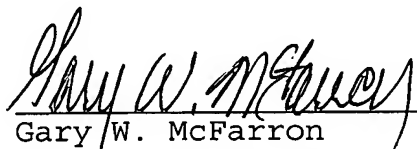
admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

Enclosed is a check in the amount of \$180.00 to cover the required fee for submission of this Second Supplemental Information Disclosure Statement. Should an additional fee be required, authorization is hereby given to charge Deposit Account 50-1039. (A duplicate of Fee Transmittal Form PTO/SB/17 is enclosed herewith.)

Respectfully submitted,

Date: February 25, 2003



Gary W. McFarron
Registration No.: 27,357
COOK, ALEX, McFARRON, MANZO,
CUMMINGS & MEHLER, LTD.,
200 West Adams Street
Suite 2850
Chicago, Illinois 60606
Phone: (312) 236-8500
Attorneys for Applicant



PATENT
Attorney Docket No. HOOV 113

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NAME Jeannie Rapstad

SIGNATURE

Jeannie Rapstad

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1. Transmittal Form PTO/SB/21 (1 sheet);
2. Supplemental Information Disclosure Statement (2 sheets);
3. PTO/SB/08A (1 sheet);
4. Five Patent References;
5. Fee Transmittal Form PTO/SB/17 (in duplicate, 2 sheets total);
6. Check No. **12355** in the amount of \$180.00;
7. Certificate of Mailing (1 sheet); and
8. Return Receipt Postcard.

Name: Jeannie Rapstad

Signature:

Jeannie Rapstad

EV036906193US